

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
DANA D. BRANHAM, ET AL.)
Application Number: Unassigned)
Filed: Unassigned)
Title: LINKAGE ASSEMBLY RESTRAINT)
Attorney Docket No.: 02-418)

Peoria, Illinois 61629-6490

November 10, 2003

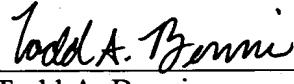
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

The following information and attached material are submitted for the Examiner's consideration relative to one or more of the claims in the above-identified application. A PTO 1449 (SB08) form and a copy, if required, of each item listed thereon are attached.

Respectfully submitted,



Todd A. Benni
Registration No. 42,313
Caterpillar Inc.

Telephone: (309) 675-4280

TAB:vlm

Enc.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number (Unassigned) Filing Date (Herewith) First Named Inventor Dana D. Branham ET AL. Art Unit (Unassigned) Examiner Name (Unassigned)	Attorney Docket Number 02-418
Sheet	1	of	2		

U.S. PATENT DOCUMENTS

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No. 1	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 3,248,864	5-3-1966	Barth	
		US- 3,757,642	9-11-1973	Schuermann	
		US- 3,955,851	5-11-1976	Umeda et al.	
		US- 4,061,353	12-6-1977	Kingman et al.	
		US- 4,141,257	2-27-1979	Hammond et al.	
		US- 4,221,353	9-9-1980	Kuhn et al.	
		US- 4,241,565	12-30-1980	Parsons, Jr.	
		US- 4,265,587	5-5-1981	Clark	
		US- 4,427,154	1-24-1984	Mercil	
		US- 4,493,426	1-15-1985	Sterner	
		US- 4,502,269	3-5-1985	Cartner	
		US- 4,598,830	7-8-1986	Fletcher	
		US- 4,636,132	1-13-1987	Menke et al.	
		US- 4,720,234	1-19-1988	Stralow	
		US- 4,869,056	9-26-1989	Lynch	
		US- 4,887,938	12-19-1989	Menke	
		US- 4,944,649	7-31-1990	Stralow	
		US- 4,960,359	10-2-1990	Lovitt, Jr.	
		US- 5,064,339	11-12-1991	Ahlers	
		US- 5,065,566	11-19-1991	Gates	

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered
-----------------------	--	--------------------

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See *Kinds_Codes_of_USPTO_Patent_Documents* at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Shee

?

of

2

Complete if Known

Application Number	(Unassigned)
Filing Date	(Herewith)
First Named Inventor	Dana D. Branham ET AL.
Art Unit	(Unassigned)
Examiner Name	(Unassigned)
Attorney Docket Number	02-418

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See *Kinds Codes of USPTO Patent Documents* at www.uspto.gov or -MPEP-901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

English language translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.